



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/533,048	03/22/2000	Jay H. Connelly	042390.P8387	8359

7590

03/27/2003

James Y Go
Blakely Sokoloff Taylor and Zafman LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

EXAMINER

BARQADLE, YASIN M

ART UNIT

PAPER NUMBER

2153

DATE MAILED: 03/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/533,048

Applicant(s)

CONNELLY, JAY H.

Examiner

Yasin M Barqadle

Art Unit

2153

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 January 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

Art Unit: 2153

Response to Amendment

1. The Amendment filed 01/16/03 has been entered and made of record.
2. Applicant's arguments with respect to claims 1-30 have been considered but are moot in view of the new ground(s) of rejection.
3. Claims 1-30 are presented for examination.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1,6-11,14-15 and 18-30 rejected under 35 U.S.C. 102(b) as being anticipated by Payton (5790935).
5. As per claim 1, Payton teaches a method, comprising:
receiving meta-data broadcast by a server system, the meta-data including descriptions of a plurality of data files

Art Unit: 2153

(digital items that include video, audio selections and computer application) to be broadcast later by the server system (Fig. 2, server 24) [Col. 4, lines 45-67 and Col. 5, lines 1- 45];

selecting, by a client system, in response to a content rating table one or more of the plurality of data files described by the meta- data, the content rating table (vector rating) generated responsive to data files previously accessed by a user [Col. 4, lines 51-54 and Col. 5, lines 6-45];

receiving, by a client system, each one of the selected one or more of the plurality of data files broadcast by the server system [Col. 4, lines 45-64 and Col. 8, lines 11- 37]; and

selectively, by a client system, storing the selected one or more of the plurality of data files broadcast by the server system [Col. 2, lines 64-67 and Col. 3, lines 1-8; Col. 4, 12-22].

6. As per claims 6,10,14,18,22 and 26, Payton teaches the invention wherein the plurality of data files comprise at least one of video information, graphical information, audio information, multi media information or textual information [Col. 4, line 55-64].

7. As per claim 7, Payton teaches a method, comprising broadcasting meta-data to one or more client systems, the meta-data including descriptions of a plurality of data files (digital items that include video, audio selections and computer

Art Unit: 2153

application) to be broadcast later [Col. 4, lines 45-67 and Col.5, lines 1-45]; and

broadcasting each one of the plurality of data files to the one or more client systems, wherein the one or more of client system is coupled to selectively store one or more of the broadcasted plurality of data files in response to the previously broadcasted meta-data and a content rating table, the content rating table generated responsive to data files previously accessed by a user [Col. 4, lines 12-67 and Col. 5, lines 1- 45].

8. As per claims 8, 20 and 24, Payton teaches the invention comprising broadcasting a meta-data broadcast schedule prior to broadcasting the meta-data, the meta-data broadcast schedule to indicate a time when the meta-data is to be broadcast later [Col. 4, lines 23-44 and Col.5, lines 22-67].

9. As per claims 9, 21 and 25, Payton teaches the invention comprising broadcasting a broadcast schedule of the plurality of data files, the broadcast schedule of the plurality of data files to indicate a time when each one of the plurality of data files is to be broadcast later [Col. 4, lines 23-44 and Col.5, lines 22-67].

10. As per claims 11, 15 and 19, Payton teaches an apparatus, comprising:

Application/Control Number: 09/533,048

Art Unit: 2153

a processor having circuitry to execute instructions [Fig.2, processor 46];

a communications interface coupled to the processor, the communications interface coupled to receive broadcasts from a server system [Fig.2, interface 58. See Col. 5, lines 55-67 and Col. 6, lines 1-19];

a storage device coupled to the processor, having sequences of instructions stored therein, which when executed by the processor cause the processor to receive meta-data broadcast by a server system, the meta-data including descriptions of a plurality of data files to be broadcast later by the server system [Col. 4, lines 45-67 and Col. 5, lines 1- 45];

select in response to a content rating table one or more of the plurality of data files described by the meta-data, the content rating table generated responsive to data files previously accessed [Col. 4, lines 45-67 and Col. 5, lines 1- 45];

receive each one of the selected one or more of the plurality of data files broadcast by the server system [Col. 4, lines 45-67 and Col. 5, lines 1- 45]; and

selectively store the selected one or more of the plurality of data files broadcast by the server system [Col. 2, lines 64-67 and Col. 3, lines 1-8; Col. 4, 12-22].

11. As per claim 23, Payton teaches a machine-readable medium having instructions stored thereon, which when executed by a processor cause the processor to broadcast meta-data to the one

Application/Control Number: 09/533,048
Art Unit: 2153

or more client systems, the meta-data including descriptions of a plurality of data files to be broadcast later [Col. 2, lines 64-67 and Col. 3, lines 1-8; Col. 4, 12-22]; and

broadcast each one of the plurality of data files to the one or more client systems, wherein the one or more client systems is coupled to selectively store one or more of the broadcasted plurality of data files in response to the previously broadcasted meta-data and a content rating table (rating vector), the content rating table generated responsive to data files previously accessed [Col. 2, lines 64-67 and Col. 3, lines 1-8; Col. 4, 12-22].

12. as per claim 27, Payton teaches a system, comprising:
a broadcast server [Fig. 2, Server 24];
one or more client systems coupled to the broadcast server

[Fig. 2, items 28 and 32];
wherein the broadcast server is coupled to broadcast meta-data to the one or more client systems, the meta-data including descriptions of a plurality of data files to be broadcast later by the server system [Col. 4, lines 45-67 and Col. 5, lines 1-67];

wherein the client system is coupled to select in response to a content rating table one or more of the plurality of data files described by the meta-data, the content rating table generated responsive to data files previously accessed [Col. 4, lines 45-67 and Col. 5, lines 1-45];

Art Unit: 2153

wherein the broadcast system is further coupled to broadcast the plurality of data files [Col. 4, lines 45-67 and Col. 5, lines 1- 45];

wherein the client system is coupled to selectively store the selected one or more of the plurality of data files broadcast by the server system [Col. 2, lines 64-67 and Col. 3, lines 1-8; Col. 4, 12-22].

13. As per claim 28, Payton teaches a system of wherein the one or more client systems coupled to the broadcast server through a network [Col. 5, lines 55-67].

14. As per claim 29, Payton teaches system wherein the one or more client systems is coupled to the broadcast server through a radio transmission through the atmosphere [Col. 5, lines 55-67].

15. As per claim 30, Payton teaches system wherein communications between the one or more client systems and the broadcast server are uni-directional [Fig. 2, Col. 4, lines 45-51].

Art Unit: 2153

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. Claims 2-5 and 12,13,16 and 17 rejected under 35 U.S.C. 103(a) as being unpatentable over Payton US (5790935) in view of Payne et al (6021433).

17. As per claim 2, Payton teaches the claimed invention as explained above. Payton does not teach explicitly activating a client system prior to a broadcast of the meta-data by the server system. However, Payne et al, in an analogous art, teach a communication server that activates a viewer's computer by sending an alert message notifying about an incoming broadcast message that is of user's interest [col. 2, lines 65-67 and Col. 3, lines 1-42]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Payne et al with that of Payton for the

Art Unit: 2153

advantage of receiving pertinent broadcast information instantly [Col. 8, lines 61-67 and Col. 9, lines 1-3].

18. As per claim 3, Payton teaches the method of claim 2 further comprising receiving a meta-data broadcast schedule broadcast by the server [Col. 4, lines 45-67 and Col. 5, lines 1-45], while Payne et al teach the client system activated in response to the meta-data broadcast schedule prior to the meta-data broadcast [col. 2, lines 65-67 and Col. 3, lines 1-42 and Col. 7, lines 43-67 and Col. 8, lines 1-47].

19. As per claim 4, Payne et al teach the method further comprising activating a client system prior to a broadcast time of each one of the selected one or more of the plurality of data files broadcast by the server system [col. 2, lines 65-67 and Col. 3, lines 1-42 and Col. 3, lines 1-42 and Col. 7, lines 43-67 and Col. 8, lines 1-47].

20. As per claim 5, Payne et al teach the method comprising receiving a broadcast schedule of the plurality of data files broadcast by the server, the client system activated in response to the broadcast schedule of the plurality of data files prior to the broadcast of each one of the selected one or more of the plurality of data files by the server system [col. 2, lines 65-67 and Col. 3, lines 1-42 and Col. 3, lines 1-42 and Col. 7, lines 43-67 and Col. 8, lines 1-47].

Art Unit: 2153

21. As per claim 12 and 16, Payton teaches the system wherein the processor is further caused to receive a meta-data broadcast schedule broadcast by the server [Col. 4, lines 45-67 and Col. 5, lines 1- 45]; and

As per the limitation to activate the apparatus in response to the meta-data broadcast schedule prior to the meta-data broadcast [see the rejection on claim 3 above].

22. As per claims 13 and 17, Payton teaches the system wherein the processor is further caused to receive a broadcast schedule of the plurality of data files broadcast by the server [Col. 4, lines 45-67 and Col. 5, lines 1- 45]; and

As to the limitation of activating the apparatus in response to the broadcast schedule of the plurality of data files prior to the broadcast of each one of the selected one or more of the plurality of data files by the server system [see the rejection on claim 4 above].

Conclusion

The prior Art made of record and not relied upon is considered pertinent to applicant's disclosure.

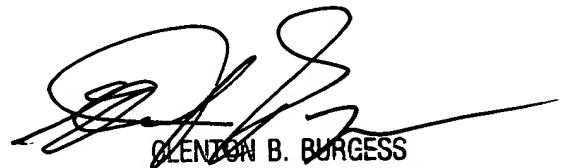
Art Unit: 2153

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yasin M Bargadle whose telephone number is 703-305-5971. The examiner can normally be reached on 9:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Burgess can be reached on 703-305-9717. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7240 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-304-3900.

Yasin Bargadle



GLENN B. BURGESS
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100